

## **"Initiatives Regarding Conflict Minerals"**

### **1. Purpose**

There are concerns that minerals mined in conflict areas such as the Democratic Republic of the Congo and neighboring countries in Africa are a source of funding for armed groups, resulting in human rights violations and conflict.

Ono Sokki Co., Ltd. recognizes the issue of conflict minerals as a serious problem and is working with our suppliers to avoid the use of conflict minerals wherever possible.

We ask all suppliers to please be mindful of the above aims and cooperate with us.

### **2. Definitions**

#### **(1) Conflict Minerals**

This indicates mineral resources used in the parts and components of products that are subject to regulations such as the Dodd-Frank Wall Street Reform and Consumer Protection Act of the United States.

#### **(2) European and US Regulations Regarding Conflict Minerals**

The US Dodd-Frank Act is a financial regulation introduced by the former Obama administration in 2010 in response to the Lehman Shock. Section 1502 of the Act includes restrictions on conflict minerals, requiring publicly traded companies in the United States to ensure that conflict minerals are not used in the functionality or manufacture of their products. We ask that you check and disclose whether these minerals are included.

Similarly, Europe only regulates the import of raw materials related to minerals under the EU Conflict Minerals Regulation.

#### **(3) Target minerals**

Four minerals are shown: tin, tantalum, tungsten, and gold.

### **3. Requests to suppliers**

Based on the belief that using conflict minerals in products indirectly contributes to human rights violations, Ono Sokki Co., Ltd. requests our suppliers to comply with the following requirements.

- Agree to Ono Sokki Co., Ltd.'s efforts regarding conflict minerals
- Suppliers must establish a policy regarding conflict minerals
- Establish and confirm a system to ensure that suppliers' products do not contain conflict minerals that have been mined through unfair means

- Cooperate with Ono Sokki Co., Ltd. in their investigation into the inclusion of conflict minerals

Issued on August 3, 2020

Ono Sokki Co., Ltd.

Quality Assurance Block